


10/19/01
J1003 U.S. PTO

12-06-01

Docket: 7214.08

D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|-----------------------|--|---|-----------------|
| First Named Inventor: | Charles N. Serhan |  | |
| Application No.: | Not assigned yet | | |
| Filing Date: | Herewith | | Examiner: |
| Title: | Regulation of Phospholipase D Activity | | Group Art Unit: |

TRANSMITTAL LETTER

BOX PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Express Mail mailing label number EL832025204US

Date of Deposit October 19, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231

Brian Ballard

(Name)

(Signature)

Dear Sir:

The following documents are enclosed for filing the above-identified patent application:

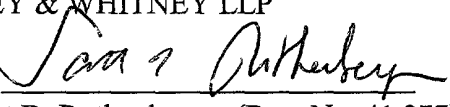
1. Utility Patent Application Transmittal
2. Application Fee Transmittal Sheet
3. Application Data Sheet
4. Preliminary Amendment
5. Copy of Specification, Claims, and Abstract (80 pgs.) from parent application
6. Three Sheets Formal Drawings
7. Copy of Declaration and Power of Attorney from parent application
8. Copy of Revocation of Power of Attorney and Appointment of New Agent
9. Check in the amount of \$1,244.00 for filing fee
10. Return Receipt Postcard.

Respectfully submitted,

DORSEY & WHITNEY LLP

Date: Oct 19, 2001

By:


Scott D. Rothenberger (Reg. No. 41,277)
Suite 1500
50 South Sixth Street
Minneapolis, Minnesota 55402-1498
Telephone: 612-340-8819

UTILITY PATENT APPLICATION TRANSMITTAL

Our Docket No.: 7214.08

Date: October 19, 2001

First Named Inventor: Charles N. Serhan

Title: Regulation of Phospholipase D Activity

Express Mail No.: EL832025204US

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

APPLICATION ELEMENTS

1. ☒ Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
3. ☒ Specification Total Pages: 80
 - ☒ Descriptive title of the invention
 - ☒ Cross References to Related Applications
 - ☐ Statement Regarding Fed. Sponsored R&D
 - ☐ Reference to Microfiche Appendix
 - ☒ Background of the Invention
 - ☒ Brief Summary of the Invention
 - ☒ Brief Description of the Drawings
 - ☒ Detailed Description
 - ☒ Claims
 - ☒ Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113) Total Sheets: 3
5. ☒ Oath or Declaration Total Pages:
 - a. ☐ Newly Executed (original or copy)
 - b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 19 completed)
 - I. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application
(see 37 C.F.R. 1.63(d)(2) and 1.33(b))
6. ☒ Application Data Sheet (37 C.F.R. 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

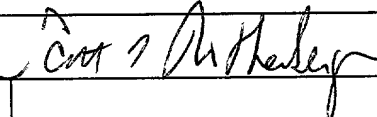
9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 C.F.R. 3.73(b) Submission
12. ☐ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure Statement
(with Copies of Citations as necessary)
15. ☒ Preliminary Amendment Total Pages: 25
16. ☒ Return Receipt Postcard
(Should be specifically itemized)
17. ☐ Certified Copy of Priority document(s)
(If foreign priority is claimed)
18. ☒ Other Check in the amount of \$1,244.00 and copy of
Revocation of Power of Attorney and Appointment of New Agent

19. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☒ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior Application No.: 09/525,157

For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference.

CORRESPONDENCE ADDRESS

| | | | |
|-----------|---|---|------------------------|
| ATTY NAME | Scott D. Rothenberger Reg. No. 41,277 | SIGNATURE:  | DATE: October 19, 2001 |
| ADDRESS | DORSEY & WHITNEY LLP Suite 1500 50 South Sixth Street Minneapolis, MN 55402-1498 | | Customer Number: 25763 |
| TELEPHONE | 612-340-8819 | | |
| FAX | 612-340-8856 | | |

APPLICATION FEE TRANSMITTAL SHEET (FOR FY 2001)

Complete if Known

Application No. Not assigned yet
Filing Date Herewith
First Named Inventor Charles N. Serhan
Group Art Unit
Examiner Name
Atty. Docket Number 7214.08

Payment Amount
Add. (1), (2) & (3)

METHOD OF PAYMENT (Check One)

1. DEPOSIT ACCOUNT

☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No.: 04-1420
Deposit Account Name: DORSEY & WHITNEY LLP

☒ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17
☐ Applicant claims small entity status (see 37 C.F.R. 1.27)

2. ☒ CHECK ENCLOSED in the amount of

\$1,244.00

APPLICATION FILING FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|------|--------------|------|------------------------|----------|
| Code | (\$) | Code | (\$) | | |
| 101 | 740 | 201 | 370 | Utility Filing Fee | \$740.00 |
| 114 | 160 | 214 | 80 | Provisional Filing Fee | |
| 105 | 330 | 206 | 165 | Design Filing Fee | |
| | 510 | | 255 | Plant Filing Fee | |
| 108 | 740 | 208 | 370 | Reissue Filing Fee | |
| Subtotal (1) | | | | | \$740.00 |

EXTRA CLAIMS FEES

| | Total Claims | Prior Paid | = Extra | x Fee from Below* | Fee Paid |
|--------------------|--------------|------------|---------|-------------------|----------|
| Total | 17 | | 0 | | |
| Indep. | 9 | | 6 | \$84.00 | \$504.00 |
| Multiple dependent | | | | | |
| Subtotal (2) | | | | | \$504.00 |

*Calculation of Extra Claims Fees

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|------|--------------|------|---|----------|
| Code | (\$) | Code | (\$) | | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 | |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 | |
| 104 | 280 | 204 | 140 | Multiple dependent claims | |
| 109 | 84 | 209 | 42 | Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent | |

ADDITIONAL FEES

| Large Entity | | Small Entity | | Description | Fee Paid |
|------------------|-------|--------------|------|--|----------|
| Code | (\$) | Code | (\$) | | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 280 | 980 | Extension for reply within fifth month | |
| 126 | 180 | 126 | 180 | Submission of IDS | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| ISSUE FEES | | | | | |
| 142 | 1,280 | 242 | 640 | Utility/Reissue issue fee (including advance copies) | |
| 143 | 460 | 243 | 230 | Design | |
| | | | | Plant | |
| 145 | 100 | 145 | 100 | Certificate of Correction | |
| NOTICE OF APPEAL | | | | | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| Subtotal (3) | | | | | |

Submitted by:

Name: Scott D. Rothenberger

Reg. No.: 41,277

Telephone: (612) 340-8819

Signature: 

Date: October 19, 2001